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Docket No. 053775-5001

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Bradley J. McGill and Evan J. Winston
Serial No. Not Yet Assigned
Filed: Concurrently Herewith
For: DERIVATIVE SECURITIES AND SYSTEM FOR TRADING SAME

BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EJ836475019US
Date of Deposit June 5, 2001

I hereby state the following papers are attached hereto:

Transmittal Letter concerning a filing under 35 U.S.C. 371 (2 pages), Declaration executed by Bradley J. McGill (2 pages), Declaration executed by Evan J. Winston (3 pages), Assignment executed by Bradley J. McGill (1 page), Assignment executed by Evan J. Winston (2 pages), Recordation Form Cover Sheet (1 page), Power of Attorney by Assignee and Certificate Under 37 C.F.R. § 3.73(b) (2 pages) with copy of assignments (3 pages), Information Disclosure Statement (2 pages), PTO Form 1449 (6 pages), References (43) and check in the amount of \$991.00 for filing fee and recordation fee

which are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Dawna E. Mozes

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